

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Attorney Docket No. 039322-0226

Applicants: James P. HOEFFLER *et al.*

Title: SINGLE CHAIN MONOCLONAL ANTIBODY FUSION  
REAGENTS THAT REGULATE TRANSCRIPTION *IN VIVO*

Application No.: 09/939,769

Filing Date: August 28, 2001

Examiner: Susan Unger

Art Unit: 1642

**AMENDMENT TRANSMITTAL**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

Transmitted herewith is an amendment in the above-identified application.

- ☒ Small Entity status under 37 C.F.R. § 1.9 and § 1.27 has been established by a Small Entity statement previously submitted.
- ☐ Small Entity statement is enclosed.
- ☒ The fee required for additional claims is calculated below:

	Claims as Amended	Previously Paid For	Extra Claims Present	Rate	Additional Claims Fee
Total Claims:	51	23	28	X \$18.00	\$504.00
Independents:	7	7	0	X \$84.00	\$0.00
First presentation of any Multiple Dependent Claims:				+ \$280.00	\$0.00
CLAIMS FEE TOTAL:					\$504.00

- ☐ Applicant hereby petitions for an extension of time under 37 C.F.R. § 1.136(a) for the total number of months checked below:

Serial No.: 09/939,769

Attorney Docket No.: 039322-0226

<input type="checkbox"/>	Extension for response filed within the first month:	\$110.00	\$0.00
<input type="checkbox"/>	Extension for response filed within the second month:	\$400.00	\$0.00
<input type="checkbox"/>	Extension for response filed within the third month:	\$920.00	\$0.00
<input type="checkbox"/>	Extension for response filed within the fourth month:	\$1,440.00	\$0.00
<input type="checkbox"/>	Extension for response filed within the fifth month:	\$1,960.00	\$0.00
EXTENSION FEE TOTAL:			\$0.00
CLAIMS AND EXTENSION FEE TOTAL:			\$504.00
<input checked="" type="checkbox"/>	Small Entity Fees Apply (subtract 1/2 of above):		\$252.00
TOTAL FEE:			\$252.00

- ☐ Please charge Deposit Account No. 19-0741 in the amount of \$252.00. A duplicate copy of this transmittal is enclosed.
- ☒ A check in the amount of \$252.00 is enclosed.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Please direct all correspondence to the undersigned attorney or agent at the address indicated below.

Respectfully submitted,

Date 25 June 2002

By Stephen A. Bent

FOLEY & LARDNER  
Customer Number: 22428



22428

PATENT TRADEMARK OFFICE

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**FACSIMILE TRANSMISSION**Total # of Pages 31 (including this page)

TO:	PHONE #:	FAX #:
Examiner Susan Unger U.S. Patent and Trademark Office	703-305-2181	703-746-3142

From : Jackie Ashton, Legal Secretary for  
Cecilia A. Lopez-Chua, Ph.D., Patent Agent  
Sender's Direct Dial : 202.295.4074  
Date : June 26, 2002  
Client/Matter No : 039322-0226  
User ID No : 1329

**MESSAGE:**

Re: U.S. Application No.: 09/939,769 (HOEFFLER *et al.*)  
Filed: August 8, 2001 Attorney Docket No.: 039322-0226  
Group Art Unit: 1642 Examiner: Susan Unger  
***SINGLE/CHAIN MONOCLONAL ANTIBODY FUSION REAGENTS THAT  
REGULATE TRANSCRIPTION IN VIVO***

Dear Ms. Unger:

Per our phone conversation, enclosed is a courtesy copy of the documents that were hand-delivered yesterday. If you have any questions, please feel free to contact me at (202) 295-4074 or Cecilia at (202) 945-6057.

If there are any problems with this transmission or if you have not received all of the pages, please call (202) 295-4074.

Operator:	Time Sent:	Return Original To: Jacqueline Ashton
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